

## 1. The EUROTRANS-BIO Mission

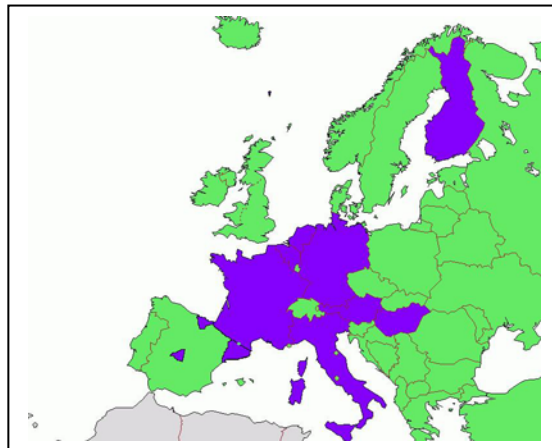
EUROTRANS-BIO (ETB) is an initiative of European member states and/or their regions working together to coordinate their national or regional public funding programmes in order to stimulate international R&D&I co-operation in the area of biotechnology. ETB fosters transnational co-operation among Small and Medium sized Enterprises (SMEs) and their strategic partners (research organizations and large industry) in order to share risks, costs and skills related to innovation. It is an objective of ETB to establish cross-border partnerships between SMEs in the biotech sector to improve and accelerate transnational technology transfer, strengthen European efforts to achieve sustainable development, to develop an internal market for research & technology and to develop an efficient joint European research policy.

So far, ETB has launched 4 calls and supported projects with total project costs of €139 Mio. In order to allow SMEs to plan their projects foresightedly and to provide them with planning dependability, ETB is establishing a sustainable European programme for the benefit of research intensive SMEs in the field of modern biotechnology in the mid-term. This implies the launch of annual calls for transnational biotech projects between 2009 and 2012, in which excellent proposals will be submitted to a joint evaluation process by ETB and successful ones be recommended for funding.

The ETB 5<sup>th</sup> Call is launched on October 1<sup>st</sup>, 2009 with the participation of 12 Countries and Regions and a total funding budget of about € 30Mio.

### Countries:

- Austria
- Finland
- France
- Germany
- Hungary
- Italy
- The Netherlands



### Regions

- Basque Country (Spain)
- Catalonia (Spain)
- Madrid (Spain)
- Flanders (Belgium)
- Wallonia (Belgium)

## 2. Project Scope and Funding

The strategic objective of ETB calls is to foster the competitiveness of Europe's biotechnology industry by supporting research intensive SMEs and their strategic partnerships. The ETB programme-focus is to support

innovation and competitive research in SMEs (see [Definition 1](#)) by funding projects with challenging application aspects and a substantial commercial impact.

The 5<sup>th</sup> ETB call is a generic call including all fields of modern biotechnology ([Definition 2](#)).

Funding is intended for innovative biotech-projects in the fields of:

- Industrial research & development (see [Definition 3](#)).
- Applied research (*i.e.* experimental development *sensu* EC) (see [Definition 4](#)).

Please note that single agencies/ministries might apply a more narrow definition and/or limit the scope of the proposals to specific areas of biotechnology.

### **2.1. ETB Recommendations for project budget and project duration:**

- The budget for individual project proposals is not restricted; it must fit with the project goals and must not fall below/exceed national/regional funding limits for each partner (for further information see contact information ([Fact sheet 1](#)) and National regulations ([Fact sheet 2](#))).
- The project duration is not restricted by ETB, but project duration of 2 to 3 years is recommended.

### **2.2. Budget & Funding Modalities**

- The ETB Consortium commits about 30 million € of funding available for the 5<sup>th</sup> ETB call. Funding of project partners is provided by the participating national/regional agencies/ministries according to the national/regional regulations ([Fact sheet 2](#)).
- A Consortium Agreement between the project partners is not needed at the moment of proposal submission, but may be required by some national/regional agencies/ministries before first instalments can be transferred. In France, Flanders, Walloon Region–Austria and Italy this document has to be in force before the first instalments can be transferred. For more details please contact your National Contact Point or see [Fact sheet 2](#)).

## **3. ETB eligibility criteria (mandatory) and ETB recommendations**

The ETB Consortium has developed formal criteria that are applied in the eligibility check and evaluation process to select the best collaborative R&D&I-projects in the biotech field. These criteria have been agreed upon by all participating programmes and are described below, together with a set of recommendations given by ETB.

Please note that this does not obviate more specific criteria set up in the single national/regional funding programmes. Country/region-specific criteria and requirements are found in the [Fact sheet 3](#) and are referred to where applicable.

### **3.1. Area of activities and project scope**

- Projects must be in the field of biotech ([OECD definition](#)) irrespective of the core business of the applicants. Please note that some national programmes may not support non-biotech applicants because they have complementary funding programmes for them. ([Fact sheet 3](#))
- Projects must be technologically innovative and create economical value. They may involve any kind of work up to and including the pre-competitive phase (i.e. up to the first prototype)

### **3.2. Composition of consortia**

To facilitate a broad-based funding support for SMEs engaged in biotech from the ETB countries/regions, the ETB initiative allows a great flexibility with regard to the composition of transnational consortia, in particular in respect to size / number of partners (see below).

**ETB criteria required for a consortium to be eligible are the following:**

- The consortium consists of at least two SME's from two different ETB countries (AT, BE, FI, FR, GE, HU, IT, NL, ES). Cooperation between two or more regions of one single country is not considered to be transnational. However, inter-regional partnerships may apply together with an additional SME partner from another country. There is no upper limit on consortium size.
- The consortium must be balanced between consortium partners, and have a focus on SME participation as defined below.
- The contribution of one country/region to the collaborative project must not exceed 70% of the total project costs in order to ensure balanced transnational partnerships.
- A minimum of 50% of the total project costs must be budgeted for SME partners within the consortium.
- The consortium must always be coordinated by an SME from an ETB participating country/region, irrespective of the characteristics of the proposed projects. The responsibility rests with this SME but parts of the project management may be affected by the most competent partner.
- Academic research groups or other public/private research organisations as well as large companies can join the consortia provided there is also an SME from the same country/region participating. Specific conditions for the funding of research organisations and Large Companies may apply (depending on the funding programmes) ([Fact sheet 3](#))

## **ETB recommendations**

- Any single partner's participation should involve R&D&I activities. The focus is on companies whose main activities rest in developing and manufacturing innovative products.
- Parties from countries/regions which do not participate in the 5<sup>th</sup> ETB call may be part of a consortium at their own cost if their contribution is needed to achieve the project goals and they are additional with respect to the minimum number of 2 ETB countries/regions.
- Subcontractors can be included and are managed under the national/regional financing regulations of the eligible participant.
- Applicants should convincingly demonstrate their ability to co-finance the project beyond the potential funding. The financial capability and stability of SME applicants is considered an important eligibility criterion in most participating programmes.
- According to ETB rules, projects are evaluated as a whole, not per partner. This means that failing of one partner within the consortium will cause the entire project to fail.

### ***3.3. Responsibility of the project coordinator***

- The project coordinator will lead the consortium throughout the application procedure and is fully responsible for the overall project coordination.
- ETB will only communicate with the project coordinator. Accordingly, the project coordinator has to share all the information provided by ETB with the other consortium partners.
- The project coordinator has to make sure that all the partners fulfil both ETB and national/regional requirements and criteria ([Fact sheet 3](#)) and participate actively in the proposal preparation, by doing their best to guarantee the project eligibility and quality.
- The project coordinator, in building the consortium, should make sure which type of funding (loans/grants) are going to be provided by his own national/regional agency/ministry and that/those of his international partner/s ([Fact sheet 2](#)).

### ***3.4. Project funding***

All consortium partners must prove sufficient financial stability to conduct the project properly. National agencies/ministries will check the financial status of their countries/regions' partner. The project coordinator has to make sure that all consortium partners provide the necessary information and formalities as requested from the agencies/ministries ([Fact sheet 2](#), [Fact sheet 3](#)) to ensure the eligibility of the project.

## 4. Application Procedure

The application process is single-phased. Proposals have to follow the form provided by EUROTRANS-BIO ([http://www.eurotransbio.net/rubrique.php3?id\\_rubrique=334](http://www.eurotransbio.net/rubrique.php3?id_rubrique=334)) and should be submitted by the project coordinator electronically using the ETB electronic submission system [described in section 5](#). Submission deadline is February 1<sup>st</sup>, 2010, 01:00 p.m. CET. Please see also [Fact sheet 3](#) for hard copies that may be required by national/regional agencies/ministries.

### 4.1 Eligibility check and evaluation of the proposals

On receipt by the ETB Office, proposals are registered and acknowledged. The proposal eligibility check will be carried out by ETB through the ETB members' agencies/ministries and will address formal compliance with the (1) [ETB](#) criteria that are applied in all member countries/regions, and the (2) national requirements that are applied exclusively to the applicants from these countries / regions ([Fact sheet 3](#)). During the eligibility check the involved agencies/ministries may ask for additional information. Proposals which do not fulfill the ETB eligibility criteria or national/regional requirements will not be subject to evaluation by ETB.

The evaluation of the project proposals is conducted by an international panel of evaluators with relevant expertise in the project field. Eligible proposals are subject to a thorough quality and viability assessment with respect to four equally weighted criteria domains:

- Technological / scientific innovation aspects,
- Economic and social perspectives and sustainability,
- Consortium potential and project management capabilities,
- Human and financial resources.

For detailed information on the evaluation criteria, please see [Fact sheet 4](#).

Positively evaluated projects will be recommended for funding by the ETB consortium. These results will be available by July 2010 and be communicated to the project coordinators through ETB office. The involved funding agencies/ministries, on the base of these recommendations, will subsequently decide at the national/regional level on funding of the project (please contact your national/regional agency/ministry in this regard since funding decision dates may differ from one country/region to the other).

### 4.2 Filling in the proposal form

Please, follow the subsequent instructions for filling in the proposal:

- Please fill in the [proposal form](#) ([http://www.eurotransbio.net/rubrique.php3?id\\_rubrique=334](http://www.eurotransbio.net/rubrique.php3?id_rubrique=334)) and check that all fields are filled in with the required information, and make sure that the entries of individual partners (part C) are consistent with the overall project data on pages 1 and 2.
- The proposal summary should briefly (max. 1 page) provide project goals and a scientific/technological overview. The project should be in line with the ETB eligibility criteria cited in [section 3](#).
- The project description must not exceed 20 pages including figures and tables and should be a concise description of the project according to the template provided in the [proposal form](#). Be aware that the project description should allow evaluators to address all the evaluation criteria properly ([Fact sheet 4](#)).
- The proposal form is a single document in format MS Word® and the space available for your information has been limited on purpose. No additional documents will be considered. The proposal file must be submitted electronically in the original format (not a PDF) before the deadline and must not exceed 4 MB.

#### **4.3 Information, communication & further assistance for applicants**

- Each funding agency/ministry has nominated ETB contact persons ([Fact sheet 1](#)) and applicants are strongly recommended to get in touch with their national contacts to get answers to individual questions and receive information on the specific national/regional regulations and requirements ([Fact sheet 2](#)).
- The only official communication line for the ETB call is between the ETB office and the project coordinator. The project coordinator is the only contact person informed by the ETB office in all relevant questions during the application procedure, so he/she must provide this information to the partners ([see also section 3.3](#)).
- For further assistance and to download the application forms please visit the ETB website ([www.eurotransbio.net](http://www.eurotransbio.net)).
- For additional information and support please contact the [national contact persons](#) .

## **5. Delivery (Electronic submission system)**

All proposal forms must be sent to the ETB office via a secured electronic submission system (ESS). To submit your proposal please

- Download the proposal form from the ETB homepage ([www.eurotransbio.net](http://www.eurotransbio.net)).

- Save the file as COORDINATING COUNTRY/REGION\_ACRONYM.doc and complete the form on your computer (e.g. ITALY\_BioWin.doc).
- When you are ready to submit the proposal, please register your project at the submission site (<http://www.etbsubmission.eu/>). You will receive a project key that must be used for submission of the proposal.
- Use the same acronym when registering as in your final application
- Insert the key when required, confirm the registration data and
- Submit the project.

For further information, please follow the instructions on the submission site (<http://www.etbsubmission.eu/>).

After submission, an ETB code number will be attributed to project proposals arriving on time and this number will be communicated to the project coordinator. Please submit your project on time. Although the deadline is February 1<sup>st</sup>, 2010, 1:00 p.m. CET, ETB strongly recommends that you submit the proposal before this date.

Please note that as a formal requirement, a signed hard copy of the proposal and/or other documents might be required by the national/regional agencies/ministries involved, and that this process may also have its' own deadlines ([Fact sheet 2 and 3](#)).

## 6. Confidentiality

ETB ensures complete confidentiality to applicants, i.e. your proposal will only be read by the national/regional agencies/ministries involved, by the international experts and be handled by the ETB Office operated by Innomedica Ltd, acting as a subcontractor of Tekes, Finland. All parties involved have signed a confidentiality agreement.

## 7. Conflict of interest

In order to exclude individual conflicts of interest the applicants are encouraged to provide the names of companies / research groups / individual evaluators (e.g. direct competitors, names of individuals), that/who should be excluded from the ETB Evaluation Board, in the proposal form.

## 8. Timeline

<b>1 October 2009</b>	Opening of the 5 <sup>th</sup> ETB call / start of proposal submission
<b>1 February 2010,</b> 01:00 p.m. CET	Proposals submission deadline



**July 2010**

The applicants will be informed about the ETB-funding recommendations by July 2010.

## **9. Monitoring and follow-up of the projects**

Monitoring and follow up of funded projects is conducted by each of the national/regional agencies/ministries of the project partners according to the national/regional regulations and the individual contracts/agreements between the applicants and their respective agencies/ministries.

Additionally, the project coordinator has to complete the 'ETB final project report form' which will be provided by ETB at the end of the project.

## **10. Partnering site**

ETB has created a partnering site to facilitate the matchmaking of partners for an ETB consortium.

If you are looking for a partner for your ETB project or if you are looking for a project to join, visit the ETB partnering web-page <https://www.etbsubmission.eu/etbsubmission/partner/> and fill in your project description and contact details. This additional option will help you to build a consortium. For further information on completing a project/ partner search, visit the partnering site web-page. ETB is also present on LinkedIn. The link to the discussion group is: <http://www.linkedin.com/e/gis/112230>



## **Definition 1**

### ***SME (ETB applies the EU definition for SME)***

On 6 May 2003 the European Commission adopted a new Recommendation 2003/361/EC regarding the SME definition which replaced Recommendation 96/280/EC as from 1 January 2005. This definition is based on headcount, turnover/balance sheet total, and on independence of the company (see also

[http://ec.europa.eu/enterprise/enterprise\\_policy/sme\\_definition/index\\_en.htm](http://ec.europa.eu/enterprise/enterprise_policy/sme_definition/index_en.htm))

This [website](http://flt.uwe.be) (http://flt.uwe.be) will allow you to find out whether or not your company is an SME

## **Definition 2**

### ***Biotechnology (ETB applies the OECD definition for biotech)***

The application of science and technology to living organisms, as well as parts, products and models thereof, to alter living or non-living materials for the production of knowledge, goods and services. Link to the OECD-definition:

[http://www.oecd.org/document/42/0,2340,en\\_2649\\_201185\\_1933994\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/42/0,2340,en_2649_201185_1933994_1_1_1_1,00.html)

## **Definition 3**

### ***Industrial Research (ETB applies the EU definition)***

In the ETB projects, industrial research means the planned research or critical investigation aimed at the acquisition of new knowledge and skills for developing new products, processes or services or for bringing about a significant improvement in existing products, processes or services. It comprises the creation of components of complex systems, which is necessary for the industrial research, notably for generic technology validation, to the exclusion of prototypes as covered by “experimental development”.

## Definition 4

### *Applied Research*

In the ETB projects, applied research means the implementation of the results of industrial research for the creation of commercial applications. Applied Research is performed in order to solve specific, practical questions related to product development up to the first prototype.

### *Experimental development (ETB applies the EU definition)*

Experimental development means the acquiring, combining, shaping and using of existing scientific, technological, business and other relevant knowledge and skills for the purpose of producing plans and arrangements or designs of new, altered or improved products, processes or services. These may also include, for example, other activities aiming at the conceptual definition, planning and documentation of new products, processes and services. The activities may comprise producing drafts, drawings, plans and other documentation, provided they are not intended for commercial use.

The development of commercially usable prototypes and pilot projects is also included where the prototype is necessarily the final commercial product and where it is too expensive to produce for it to be used only for demonstration and validation purposes. In case of a subsequent commercial use of demonstration or pilot projects, any revenue generated from such use must be deducted from the eligible costs.

The experimental production and testing of products, processes and services is also eligible, provided that the products, processes and services cannot be used or transformed for industrial or commercial applications.

Experimental development does not include the routine or periodic changes made to products, production lines, manufacturing processes, existing services and other operations in progress, even if such changes may represent improvements.

# Fact sheet 1

## Contact data of national funding agencies

### Austria:

**Ms Birgit Mayer**, FFG,  
tel +43 5 7755-1305, [birgit.mayer@ffg.at](mailto:birgit.mayer@ffg.at)  
**Mr Christian Listabarth**, BMWFJ,  
tel +43 5 7755-1701, [christian.listabarth@ffg.at](mailto:christian.listabarth@ffg.at)

### Finland:

**Mr Raimo Pakkanen**, Tekes,  
Tel, +358 1060 55829, [raimo.pakkanen@tekes.fi](mailto:raimo.pakkanen@tekes.fi)

### France:

**Ms Marielle Mailhes**, OSEO,  
Tel, +33 1 41 79 91 35, <mailto:marielle.mailhes@oseo.fr>

### Germany:

**Mrs Tatiana Gründer**, FZJ  
Tel, +49 2461 61 9030, [t.gruender@fz-juelich.de](mailto:t.gruender@fz-juelich.de)

### Hungary:

**Mr Artur Wieland**, NKTH  
Tel, +36 1 484 2529, [artur.wieland@nkth.gov.hu](mailto:artur.wieland@nkth.gov.hu)

### Italy:

**Ms Valentina Milazzo**, IPI,  
Tel, +39 06 80972 517, [info-etb@riditt.it](mailto:info-etb@riditt.it)  
**Ms Vanda Ridolfi**, MSE,  
Tel, +39 06 549 27 728, [vanda.ridolfi@sviluppoeconomico.gov.it](mailto:vanda.ridolfi@sviluppoeconomico.gov.it)

### The Netherlands:

**Mrs Yulia Gerhardt-Tazikova**, SenterNovem,  
Tel, +31-70-373 5904, [y.gerhardt@senternovem.nl](mailto:y.gerhardt@senternovem.nl)  
**Mr Niels van Leeuwen**, SenterNovem  
+31 70 373 5290, [n.van.leeuwen@senternovem.nl](mailto:n.van.leeuwen@senternovem.nl)

### Basque Country (Spain):

**Ms Garbiñe Larrauri**, Innobasque  
Tel, +34 944 209 488, [glarrauri@innobasque.com](mailto:glarrauri@innobasque.com)  
**Mr Iñaki Alba**, Department of Industry, Innovation, Trade and Tourism, Basque Government  
Tel, +34 945 01 82 10, [i-alba@ej-gv.es](mailto:i-alba@ej-gv.es)

### Catalonia (Spain):

**Ms Mar Pérez Blasco**, ACC1Ó-CIDEM|COPCA,  
Tel, +34 93 567 48 89, [mperezbl@acc10.cat](mailto:mperezbl@acc10.cat)  
**Ms Noemí Moya**, ACC1Ó CIDEM|COPCA  
Tel, +34 93 567 49 04, [nmoya@acc10.cat](mailto:nmoya@acc10.cat)

### Madrid (Spain):

**Ms Paloma Lozano**, IMADE:

Tel, +34 91 399 75 65, [paloma.lozano@imade.es](mailto:paloma.lozano@imade.es)  
**Ms Marisa Montes**, IMADE  
Tel, +34 91 399 74 95, [mmontes@imade.es](mailto:mmontes@imade.es)

**Flanders (Belgium):**

**Ms Katrien Swerts**, IWT  
Tel,+32 (0)2 209 09 87, [ks@iwt.be](mailto:ks@iwt.be)

**Wallonia (Belgium):**

**Mr Nicolas Delsaux**, DG OEER  
Tel, +32 473 556 174, [nicolas.delsaux@spw.wallonie.be](mailto:nicolas.delsaux@spw.wallonie.be)  
**Ms Julie Jasmès**, DG OEER  
Tel, +32 474 580 239, [julie.jasmes@spw.wallonie.be](mailto:julie.jasmes@spw.wallonie.be)

**ETB-Office:**

**Mrs Johanna Furuhjelm**, Innomedica Oy Ltd.  
Tel, +358 40 5250076, [etboffice@innomedica.fi](mailto:etboffice@innomedica.fi)

## Fact sheet 2

### *Application of National regulations*

#### Austria

- 1 Name of programme  
**General Programmes / Basisprogramme**
- 2 Agency  
**Austrian Research Promotion Agency / Österreichische Forschungsförderungsgesellschaft (FFG)**
- 3 Who can be funded (Who cannot be funded)?  
**FFG-General Programmes promote all sizes of firms. Applicants might be enterprises, research institutes and inventors.**
- 4 Which types of projects are /are not eligible?  
**Industrial and applied research projects providing commercialisation expertise are eligible.**
- 5 Which types of costs are/are not eligible?  
**Personnel costs, capital investment and other costs (such as patenting, materials, travelling, and subcontractors) are eligible. Costs pertaining to marketing, sales and distribution, and transition to production are non eligible costs.**
- 6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as a mixture of grants, loans and guarantees.**
- 7 Limits of funding per proposal  
**A total of maximum 50% of R&D costs can be funded, there is no absolute limit.**
- 8 Link to the programme  
<http://www.ffg.at/content.php?cid=8>
- 9 Link to general guidelines  
<http://www.ffg.at/content.php?cid=41>
- 10 Link to reporting and follow up  
<http://www.ffg.at/content.php?cid=42>
- 11 Information on biotech companies  
<http://www.lifesciencesdirectory.at>

#### Finland

- 1 Name of programme  
**Pharma - Building Competitive Edge  
SymBio - Industrial Biotechnology**
- 2 Agency  
**Tekes - Finnish Funding Agency for Technology and Innovation**
- 3 Who can be funded (Who cannot be funded)?  
**Tekes can fund all sizes of companies and research institutes located in Finland and involved in R&D&I. However, public research groups are considered as subcontractors to the company proposals in the ETB calls.**
- 4 Which types of projects are /are not eligible?

Tekes funds a certain percentage of eligible costs of the submitted R&D project. Tekes funds companies to start R&D projects, to promote faster commercialization of research results, enhance networking and to utilize international cooperation.

5 Which types of costs are/are not eligible?

**Personnel costs, equipment (where applicable), consumables, traveling, patenting and subcontractors are eligible. Costs pertaining to sales and distribution are non eligible costs.**

6 Funding is provided as grant/loan/capital loan/guarantee

**Funding can be given in the form of (or a combination of) grants and loans. The combination depends on the nature of the project and the size of the company.**

7 Limits of funding per proposal

**No limits**

8 Link to the programme

<http://akseli.tekes.fi/opencms/opencms/OhjelmaPortaali/ohjelmat/SymBio/en/etusivu.html>

<http://akseli.tekes.fi/opencms/opencms/OhjelmaPortaali/ohjelmat/Laaketeollisuus/en/etusivu.html>

9 Link to general guidelines (in Finnish and English)

<http://www.tekes.fi/rahoitus/yritys/>

<http://www.tekes.fi/eng/tekes/rd/>

10 Link to reporting and follow up (in Finnish)

<http://www.tekes.fi/rahoituksenhakeminen/>

## France

Projects will be funded only if they are recommended for funding by ETB. In most of the cases, the academic research laboratories and the enterprises will receive a loan from OSEO. 2 Programmes may be used to fund ETB French partners "Aide au Projet de R&D&I", and the "Strategic Industrial Innovation Programme" (PISI).

### **A. Aide au Projet de R&D&I**

1 Name of programme

**General programme: "Aide au Projet de R&D&I"**

2 Agency

**OSEO**

3 Who can be funded (Who cannot be funded)?

**The French agency will support all type of enterprises up to 2000 employees and other types of organisation (i.e. laboratories, technical centers).**

4 Which types of projects are /are not eligible?

**All types of projects, from industrial and applied research up to innovation are eligible. If industrial topics are specially supported, the fundamental activities can be accepted as far as they are included in a global industrial project. For the scope of ETB, the agency agrees to take the Biotech definition of OECD without restriction. Moreover, a French partner bringing any kind of innovation into the Biotechnology project can be eligible. Projects in the fields of Health&Nutrition can be funded too.**

5 Which types of costs are/are not eligible?

**Feasibility studies, personnel costs + overheads (20%), equipment, consumables, patenting of project results, subcontractors are eligible. Costs pertaining to sales and distribution are non eligible costs.**

6 Funding is provided as grant/loan/capital loan/guarantee

**Companies will be funded by OSEO with repayable advance.**

Funding rates vary with project nature, technical and economical risks, preliminary results, the size and the age of the company and the encouraging capacity of the contribution.

Academic partners are funded either with grants, either with loans free of interest, on a case-by-case basis. Participation of academic partners has to be duly justified. If the French SME also part of the project has enough financial capacities, it is recommended to position academic partners as subcontractors.

7 Limits of funding per proposal

**There is no upper limit of funding per proposal. Nevertheless, OSEO's contribution is limited to the SME's capital amount.**

8 Link to the programme:

[http://www.oseo.fr/votre\\_projet/innovation/aides\\_et\\_financements/aides/aide\\_au\\_projet\\_de\\_r\\_d\\_i](http://www.oseo.fr/votre_projet/innovation/aides_et_financements/aides/aide_au_projet_de_r_d_i)

9 Link to general guidelines

**Each French applicant has to contact his OSEO regional contact to get the financial forms required.**

11 Information on biotech companies

[http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID\\_DOC=0&ID\\_RUBRIQUE=79](http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID_DOC=0&ID_RUBRIQUE=79)

## **B. Strategic Industrial Innovation Programme (PISI)**

1 Name of programme

**Strategic Industrial Innovation Programme**

2 Agency

**OSEO**

3 Who can be funded (Who cannot be funded)?

**The French agency will support all types of enterprises up to 5000 employees and other types of organisation (i.e. laboratories, technical centers).**

4 Which types of projects are /are not eligible?

**Projects must present a breakthrough innovation for an industrial purpose and have to lead to an impact at world level.**

5 Which types of costs are/are not eligible?

**Personnel costs + overheads (20%), material costs and depreciation of equipment, consumables, license or patent acquisition costs, subcontractors are eligible. Costs pertaining to sales and distribution are non eligible costs.**

6 Funding is provided as grant/loan/capital loan/guarantee

**The nature of the funding is depending on the nature of the work:**

7 Limits of funding per proposal

**OSEO's contribution is limited to the company's capital amount.**

8 Link to the programme:

[http://www.oseo.fr/votre\\_projet/innovation/aides\\_et\\_financements/aides/aide\\_au\\_projet\\_d\\_innovation\\_strategique\\_industrielle\\_programme\\_isi](http://www.oseo.fr/votre_projet/innovation/aides_et_financements/aides/aide_au_projet_d_innovation_strategique_industrielle_programme_isi)

9 Link to general guidelines

**Each French applicant has to contact his ETB national contact to assess if the project fits with PISI requirements.**

11 Information on biotech companies



[http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID\\_DOC=0&ID\\_RUBRIQUE=79](http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID_DOC=0&ID_RUBRIQUE=79)

## **B. Strategic Industrial Innovation Programme (PISI)**

1 Name of programme

**Strategic Industrial Innovation Programme**

2 Agency

**OSEO**

3 Who can be funded (Who cannot be funded)?

**The French agency will support all types of enterprises between 2000 and 5000 employees and other types of organisation (i.e. laboratories, technical centers).**

4 Which types of projects are /are **not** eligible?

**Projects must present a breakthrough innovation for an industrial purpose and have to lead to an impact at world level.**

5 Which types of costs are/are not eligible?

**Personnel costs, overheads (20%), equipment (depreciation), consumables, premises, travelling, patenting, subcontractors are eligible. Costs pertaining to sales and distribution are non eligible costs.**

6 Funding is provided as grant/loan/capital loan/guarantee

**The nature of the funding is depending on the nature of the work:**

7 Limits of funding per proposal

**OSEO's contribution is limited to the SME's capital amount.**

8 Link to the programme:

[http://www.oseo.fr/votre\\_projet/innovation/aides\\_et\\_financements/aides/aide\\_au\\_projet\\_d\\_innovation\\_strategique\\_industrielle\\_programme\\_isi](http://www.oseo.fr/votre_projet/innovation/aides_et_financements/aides/aide_au_projet_d_innovation_strategique_industrielle_programme_isi)

9 Link to general guidelines

**Each French applicant has to contact his ETB national contact to assess if the project fits with PISI requirements.**

11 Information on biotech companies

[http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID\\_DOC=0&ID\\_RUBRIQUE=79](http://www.france-biotech.org/TEMPLATES/COMPAGNIE.asp?ID_DOC=0&ID_RUBRIQUE=79)

## **Germany:**

1 Name of programme

**KMU-Innovativ: Biotechnologie: Bio Chance**

2 Agency

**Projektträger Jülich**

3 Who can be funded (Who cannot be funded)?

**The programme provides funding opportunities for SMEs and their strategic partners.**

4 Which types of projects are /are **not** eligible?

**Precompetitive R&D projects in the field of biotechnology are eligible**

5 Which types of costs are/are not eligible?

**see ANBest, BNBest & NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des Bundesministeriums für Bildung und Forschung an Unternehmen der gewerblichen Wirtschaft für Forschungs- und Entwicklungsvorhaben).**

6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as grant.**

7 Limits of funding per proposal  
**There is no particular limit for funding of individual projects.**

8 Link to the programme  
<http://www.hightech-strategie.de/de/429.php>

9 Link to general guidelines  
<http://www.fz-juelich.de/ptj/eurotrans-bio>

## Hungary:

1 Name of programme  
**ERA-NET HU 09 Programme**

2 Agency  
**National Office for Research and Technology**

3 Who can be funded (Who cannot be funded)?  
**Business enterprises and cooperatives with legal entity and registered seat in Hungary, Hungarian branches of enterprises with foreign registered seat, non-profit organizations with legal entity, cooperatives, public bodies or their institutes with legal entity can be funded. who at the same time participate in an international ERANET project submitted to the relevant project office.  
Those companies or institutes which have no legal entity cannot be funded.**

4 Which types of projects are /are not eligible?  
**Application-oriented, research and development in the field of state-of-the-art technologies is eligible (the programme consist of the following sub programmes: 1. EURONANOMED 2.MNT-ERANET II. 3. EuroTransBio 4. Complexity NET**

5 Which types of costs are/are not eligible?  
**Personnel costs, contribution payable by employers, cost of instruments and equipment, licensing and technology transfer costs, external service contracts, operational costs, co-ordination costs, dissemination costs are eligible.**

6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as grant.**

7 Limits of funding per proposal  
**The limits of public co-funding are those fixed by the European Community Framework for State Aid for Research and development and Innovation**

**maximum equivalent should not exceed 100 million HUF in €EURO converted on the exchange rate valid on the last day of the month before the submission deadline (at present 370 000€ ) (Note: the total Hungarian contribution to the calls in the period 2009-2011 is 1 million Euro)**

8 Link to the programme  
<http://www.nkth.gov.hu/palyazatok-eredmenyek/felhivasok>

9 Link to general guidelines (To be defined)

## Italy

1 Name of programme  
**Italy participates in the EUROTRANS-BIO (ETB) 5<sup>th</sup> call through a dedicated national call in the framework of the Programme known as “Technology Innovation Fund” (FIT - Fondo Innovazione Tecnologica), established by Law n. 46/1982 and conformed**

according to the directive of 10 July 2008. All the following information refers to the FIT Programme's general rules and conditions, as well as to the specific national ETB call document, namely "Bando per la Concessione di Agevolazioni per lo sviluppo di progetti transnazionali di sviluppo sperimentale e ricerca industriale nel campo delle biotecnologie".

2 Agency

The FIT Programme is owned and managed by the Italian Ministry of Economic Development (DGLAI – Direction General for the Support to Enterprises, Division VIII - Actions for Technological Innovation and Enterprises Networks, R&D Integrated Programmes).

3 Who can be funded (Who cannot be funded)?

The FIT Programme supports firms of any size in any industrial sector. For the purpose of this ETB dedicated 5<sup>th</sup> call, mainly micro, small and medium sized enterprises (SMEs) steadily established in Italy will be supported. Also Italian universities, research centres, and large firms can apply, if a significant part of the project remains in charge of at least one Italian SME established in Italy.

4 Which types of projects are /are not eligible?

The FIT Programme supports those industrial research and experimental development projects, for which the experimental development activities are prevailing (i.e. the research activities accounts for a minor share of the whole project costs). In the FIT Programme framework, experimental development is outlined as the acquiring, combining, shaping and using of existing scientific, technological, business and other relevant knowledge and skills for the purpose of producing plans and arrangements or designs for new, altered or improved products, processes and services (for example: creation of a prototype, demonstration projects, pilot projects etc). Explicitly excluded are: routine or periodic changes made to products, production lines, manufacturing processes, existing services and other operations in progress, even if such changes may represent improvement.

5 Which types of costs are/are not eligible?

**Eligible costs include:** expenses for personnel, equipment, subcontracting and other costs (licences, patenting costs, materials). **Not eligible expenses** are those pertaining to marketing, sales and distribution, and transition to production, as well as all the expenses sustained for project application, and in general all not traceable or not certifiable expenses.

6 Funding is provided as grant/loan/capital loan/guarantee

Co-funding by the MSE (Ministero dello Sviluppo Economico) is provided through a mix of soft loans and grants. The amount depends on the nature of the project activities as a mix between experimental development and industrial research.

7 Limits of funding per proposal

The limits of public co-funding are those fixed by the European Community Framework for State Aid for Research and development and Innovation, and depend on the mix of the above mentioned activity typologies in the project.

8 Link to the programme

[http://www.riditt.it/page.asp?page=financing\\_public&idlanguage=en&idlocalizationtype=11&numpages=2&action=detail&IDObject=30&IDObjectType=11](http://www.riditt.it/page.asp?page=financing_public&idlanguage=en&idlocalizationtype=11&numpages=2&action=detail&IDObject=30&IDObjectType=11)

9 Link to general guidelines

[http://www.riditt.it/page.asp?page=bando\\_etb\\_5thcall](http://www.riditt.it/page.asp?page=bando_etb_5thcall)

10 Link to reporting and follow up

[http://www.riditt.it/page.asp?page=financing\\_public&idlanguage=en&idlocalizationtype=11&numpages=2&action=detail&IDObject=30&IDObjectType=11](http://www.riditt.it/page.asp?page=financing_public&idlanguage=en&idlocalizationtype=11&numpages=2&action=detail&IDObject=30&IDObjectType=11)

11 Information on biotech companies

[http://www.riditt.it/page.asp?page=etb\\_sistema\\_biotech\\_italia&IDLlanguage=en](http://www.riditt.it/page.asp?page=etb_sistema_biotech_italia&IDLlanguage=en)

## The Netherlands

- 1 Name of programme  
**Life Sciences and Health (LS&H)**
- 2 Agency  
**SenterNovem**
- 3 Who can be funded (Who cannot be funded)?  
**All parties except non-profit foundations can in principle be funded.**
- 4 Which types of projects are /are not eligible?  
**Viable projects that fall in the scope of the LS&H programme can be funded.**
- 5 Which types of costs are not eligible?  
**All costs directly related to the project activities, costs (material, travel, etc.), except project management, are eligible costs. These costs are eligible as of the 1<sup>st</sup> of January 2010.**
- 6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as grant.**
- 7 Limits of funding per proposal  
**There is a EUR 750.000 funding limit per proposal**
- 8 Links to the programme (in Dutch only)  
<http://www.senternovem.nl/lifesciencesandhealth/index.asp>  
[http://www.senternovem.nl/innovatieindialoog/innovatieprogrammas/life\\_sciences\\_and\\_health.asp](http://www.senternovem.nl/innovatieindialoog/innovatieprogrammas/life_sciences_and_health.asp)  
<http://www.senternovem.nl/etb> or [www.eurotransbio.nl](http://www.eurotransbio.nl)
- 9 Links to general guidelines  
[http://www.senternovem.nl/etb/financiele\\_steun/index.asp](http://www.senternovem.nl/etb/financiele_steun/index.asp)  
[http://www.senternovem.nl/etb/nationale\\_voorwaarden/index.asp](http://www.senternovem.nl/etb/nationale_voorwaarden/index.asp)
- 10 Link to reporting and follow up  
<http://www.senternovem.nl/etb/formulieren/index.asp>

## Basque Country / Spain

- 1 Name of programme  
**INTEK-BERRI (GAITEK and INNOTEK programmes)**
- 2 Agency  
**Sociedad para la Promoción y Reconversión Industrial (SPRI)**
- 3 Who can be funded (Who cannot be funded)?  
**Small, medium and large companies and associations and foundations of companies can be funded. Research centres, universities and public entities can participate as subcontractors.**
- 4 Which types of projects are /are not eligible?  
**Projects for the development of new products (GAITEK) and technological development and innovation projects (INNOTEK) are eligible.**
- 5 Which types of costs are/are not eligible?  
**Personnel, subcontracting, equipments, other costs (travels and subsistence, materials, etc) are eligible.**
- 6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as a grant.**
- 7 Limits of funding per proposal  
**In the GAITEK funding programme, a maximum of 50% of the costs for SMEs are funded. In the INNOTEK funding programme, a maximum of 45% of the costs for SMEs**

are funded. In each programme, there is a limit of 250.000€ funding per beneficiary and per year.

8 Link to the programme

<https://www.spri.es/idi/idi/>

9 Link to general guidelines

<https://www.spri.es/idi/idi/>

10 Link to reporting and follow up

**The project has to be revised annually.** <https://www.spri.es/idi/idi/> and [www.industria.ejgv.euskadi.net](http://www.industria.ejgv.euskadi.net)

11 Information on biotech companies

[www.biobasque.org](http://www.biobasque.org) and [www.innobasque.com](http://www.innobasque.com)

## Catalonia / Spain

CIDEM funding programme for the EUROTRANSBIO 5th call is being designed. The final version will be launched by the beginning of November.

## Madrid / Spain

1 Name of programme

**Enterprise Innovation Plan (PIE) / Plan de Innovación Empresarial (PIE)**

2 Agency

**Institute for the Development of Madrid Region (IMADE) / Instituto Madrileño de Desarrollo (IMADE)**

3 Who can be funded (Who cannot be funded)?

**Companies, irrespective of their legal status, this is, any organisation with a registered competitive economic activity whose personnel involved in the project is based in the Madrid region.**

4 Which types of projects are /are not eligible?

**Industrial research, experimental product development (pre-competitive phase) and external consultancy are eligible. Feasibility studies for industrial research and experimental development are also covered by the programme.**

**Fundamental research is not eligible.**

5 Which types of costs are/are not eligible?

**Eligible costs include personnel, external consultancy, technology transfer or patent costs, licenses, equipment and fungible goods directly related to the research activity and which are necessary for the project.**

6 Funding is provided as grant/loan/capital loan/guarantee

**Funding is provided as grant.**

7 Limits of funding per proposal

**300.500 € per year is the upper limit per proposal (costs exceeding this limit could exceptionally be reimbursed if the Evaluation Committee considers it reasonable according to the nature and characteristics of the project)**

8 Link to the programme

[http://www.madrid.org/cs/Satellite?c=CM\\_Actuaciones\\_FA&cid=1114193070319&idConsejeria=1109266187242&idListConsj=1109265444710&idOrganismo=1109266227173&language=es&pagename=ComunidadMadrid%2FEstructura&pid=1109265444699&sm=1109266100977](http://www.madrid.org/cs/Satellite?c=CM_Actuaciones_FA&cid=1114193070319&idConsejeria=1109266187242&idListConsj=1109265444710&idOrganismo=1109266227173&language=es&pagename=ComunidadMadrid%2FEstructura&pid=1109265444699&sm=1109266100977)

9 Link to general guidelines  
[http://descargas.imade.es/Imade/web/publico/descargas.nsf/v\\_todas/A46D02A33D72B70BC125711F003770A4/\\$FILE/PIE%2006-09.pdf](http://descargas.imade.es/Imade/web/publico/descargas.nsf/v_todas/A46D02A33D72B70BC125711F003770A4/$FILE/PIE%2006-09.pdf)

11 Information on biotech companies  
<http://www.biomadrid.org/>  
<http://www.madridreddeparquesyclusters.org/cluster/biocluster/index.html>

## Flanders / Belgium

1 Name of programme  
**O&O-bedrijfsprojecten / KMO-programma - innovatieprojecten**

2 Agency  
**IWT**

3 Who can be funded (Who cannot be funded)?  
**IWT funds small, medium sized and large enterprises. Participants must be enterprises.**

4 Which types of projects are /are not eligible?  
**Industrial and applied research projects with an economical outcome are eligible.**

5 Which types of costs are/are not eligible?  
**Personnel costs, capital investment and other costs (such as patenting, materials, travelling, and subcontractors) are eligible. Costs pertaining to marketing, sales and distribution, and transition to production are non eligible costs.**

6 Funding is provided as grant/loan/capital loan/guarantee  
**Funding is provided as a mixture of grants and loans.**

7 Limits of funding per proposal  
**Maximum 80% of R&D costs can be funded. The total funding of the project cannot exceed 5 million EURO.**

8 Link to the programme  
<http://www.iwt.be/steun/loket/oen/index.html>;  
<http://www.iwt.be/steun/loket/kmo/index.html>

9 Link to general guidelines  
<http://www.iwt.be/steun/loket/oen/index.html>;  
<http://www.iwt.be/steun/loket/kmo/index.html>

10 Link to reporting and follow up  
<http://www.iwt.be/steun/loket/oen/index.html>;  
<http://www.iwt.be/steun/loket/kmo/index.html>

11 Additional information:  
- Information session on European funding programmes on October 26<sup>th</sup> at IWT (information and registration: <http://www.euoprogs.be/biomedisch/evenementen/2009/hoer-verhoog-ik-als-vlaams-bedrijf-mijn-kansen-in-een-europees-life-sciences-steunprogramma/>)  
- EuroTransBio training session: December 2009 (information and registration: [KS@IWT.be](mailto:KS@IWT.be))

12 Information on biotech companies  
<http://www.flandersbio.be/directory.asp>  
Contact: [Willem.dhooge@flandersbio.be](mailto:Willem.dhooge@flandersbio.be), tel. +32 9 2418046  
<http://www.flandersfood.com> Contact: [Annelies.Vandamme@flandersfood.com](mailto:Annelies.Vandamme@flandersfood.com), tel. +32 2 7884361

## Wallonia / Belgium

- 1 Name of programme  
**General programme / Marshall Plan**
- 2 Ministry  
**Directorate General Operational for Economy, Employment and Research**
- 3 Who can be funded (Who cannot be funded)?  
**All size of enterprises, academia and research centres can be funded**
- 4 Which types of projects are /are not eligible?  
**Industrial research and experimental development projects providing commercialisation expertise are eligible.**
- 5 Which types of costs are/are not eligible?  
**Personnel costs, capital investment, patenting, technical knowledge, general costs and exploitation costs are eligible.**
- 6 Funding is provided as grant/loan/capital loan/guarantee  
**Grants and loans.**
- 7 Limits of funding per proposal  
**SME: 60 to 80%**  
**Large companies: 50 to 60%**  
**Academia: 100%**  
**Research centres: 75%**
- 8 Link to the programme  
<http://recherche-technologie.wallonie.be/fr/menu/themes/biotechnologies/index.html?PROFIL=http://recherche-technologie.wallonie.be/fr/annonces/toutes-les-annonces/marshall-2008-annonce.html?PROFIL=>
- 9 Link to general guidelines  
<http://recherche-technologie.wallonie.be/fr/menu/ressources/programmes/les-era-nets.html?TEXT=era-net>
- 10 Link to reporting and follow up  
<http://recherche-technologie.wallonie.be/fr/menu/themes/biotechnologies/index.html?PROFIL=>
- 11 Information on biotech companies  
**Contact: [j.quivy@mrw.wallonie.be](mailto:j.quivy@mrw.wallonie.be) Tél. : +32 81 33 56 24**  
**Contact: [j.hutschemackers@mrw.wallonie.be](mailto:j.hutschemackers@mrw.wallonie.be) Tél. : +32 81 33 55 73**



## Fact sheet 3

### *National requirements*

Specific national requirements are listed for each ETB country. Please, keep in mind that the list is not exhaustive. For further information regarding national regulations, please contact your [national contact person](#).

#### Austria

- A project cannot receive double public funding.
- The project must have a stable financial situation.
- The company must demonstrate its capability (in terms of dimension: personnel, laboratories, facilities) to gear properly its project effort (cost share).
- The company must have enough own financing for the project for which they are applying for funding.
- The project must be compatible with the national programme. Any kind of research supporting military activity will not be funded.
- Only pre-competitive development projects can be funded.
- All information needed for the evaluation should be available.
- Only industrial topics can be accepted.
- The company must be registered in the country of the programme owner to receive funding and it must be well established in the country with plants, laboratories, employees, etc.
- The project must add benefit to the national economy.
- Following the electronic submission of the proposal form to ETB-office (by the project coordinator), Austrian partners must send an identical and duly signed hard copy to the agency (FFG).

#### Finland

- The project cannot receive double public funding
- The company, applying for funding, should have a stable financial situation
- The company must have enough own financing for the project for which they are applying for funding.
- The company must be registered in Finland and it must be well established in the country with plants, laboratories, employees, etc.
- The project must add benefit to the national economy.
- Subcontractors can be funded
- Large companies can be funded.
- All areas of biotechnology (by OECD definition) are supported.

- Public research groups are considered as subcontractors to the company proposal. Please contact the national contact person at Tekes for further details.

## France

- A project cannot receive double public funding.
- The company has to have a good financial health to assume a loan associated to the project. OSEO's contribution is limited to the SME's capital amount.
- The company must have enough own financing (at least half of the cost of its part of the project) for the project for which they are applying for funding. The funding of a non-biotech company or laboratory is possible if the global project is a biotech project.
- All information needed to do the evaluation should be available. Documents complementary to the proposal will be asked by OSEO to finalize the dossier and assess the financial situation of the private partners.
- Only industrial topics can be accepted, but, if they are relevant for the project, a small proportion of fundamental activities can be included in a global industrial project.
- To receive funding, both companies and research organisations must be registered in France. Nevertheless, SMEs can subcontract part of the work outside the country when it is demonstrated necessary.
- The project must add benefit to the national economy.
- A well-balanced Consortium Agreement has to be negotiated and signed before the contract signature with OSEO. It has to be approved by OSEO'S legal department thus it is recommended to submit a draft of this CA to your regional office before signature by the partners. Among other things, the following points will be checked carefully: 1) Any condition for the use of pre-existing know-how must be clearly stated as well as any condition for the use of the results of the project. 2) the benefit each partner will get from the project in terms of IPR and of financial benefits (potential royalties must be defined, or at least principles for agreeing on potential royalties).

The attention of the applicants is drawn to the fact that the consortium agreement may take time to negotiate and should be drafted carefully, taking into account the characteristics of a EUROTRANS-BIO project. The assistance of a legal advisor at the appropriate stage is recommended. Please contact your regional office to see if you can benefit from any specific accompanying measure.

- Funding rate is not fixed and varies with parameters like the status of the organization, the size of the company and the type of research to be performed in the ETB project. You have to contact your regional officer to know which funding rate might apply to your organization.
- Documents to be submitted at OSEO:
  - Together with the electronic submission of the proposal at the ETB-office, French SMEs applying to ETB have to submit the following documents to their national contact.
  - 3 last balance sheets 2007, 2008, 2009 (non-approved) (3 derniers bilans dont le 200 non certifié)
  - An estimated Income statement 2009, 2010, 2011 (compte de résultat prévisionnel 2009)
  - Cash flow forecast for 2010, 2011, 2012 (plan de financement pour l'année 2010, 2011, 2012)

Please, note that an electronic version of those documents has to arrive on February the 1<sup>st</sup> 2010, on the latest at the following address: [Marielle.mailhes@oseo.fr](mailto:Marielle.mailhes@oseo.fr)

Your OSEO'S regional office can provide you with specific templates used for the national programme, Aide à l'Innovation.

## Germany

- BMBF funding of the EUROTRANS-BIO call is provided as delineated in the regulations of the programme KMU-Innovativ: Biotechnologie - Bio Chance.
- The procedure ("Verfahren") is according to the abovementioned EUROTRANS-BIO protocol (see Chapter 4 "Application Procedure"). The funding will be awarded as non-repayable project grant.
- The funding regulations, the follow up and reporting of publicly funded projects are regulated according to ANBest (Allgemeine Nebenbestimmungen), BNBest (Besondere Nebenbestimmungen) and NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des Bundesministeriums für Bildung und Forschung an Unternehmen der gewerblichen Wirtschaft für Forschungs- und Entwicklungsvorhaben).
- The proposed project must be compatible with the programme KMU-Innovativ: Biotechnologie - Bio Chance.
- The company proposing a project must be registered in Germany and must be well established in Germany with plants, laboratories, employees, etc.
- The proposed project will be conducted in Germany.
- The utilization of the project results will take place in Germany.

- The company proposing a project must be capable to provide the co-financing.
- The proposed project must provide added value to the national biotech scenery.
- The proposed project must add benefit to the national economy.
- Double funding is not possible.
- Documents to be submitted at Project Management Jülich
  - Together with the electronic submission of the proposal at the ETB-office the applicants have to submit the following documents:
    - Jahresabschluss 2008 oder 2009
    - Erklärung zur Aufbringung des Eigenanteils
    - Aktuelle BWA
    - Liquiditätsplanung für die Laufzeit des vorgeschlagenen Projektes
  - The documents have to be submitted to:
    - Forschungszentrum Jülich
    - Projektträger Jülich
    - Frau Marina Müller
    - 52425 Jülich

## Hungary

- Funding is provided to Hungarian enterprises (or non-profit organizations and public bodies carrying out economic activities under the project in Hungary).
- Calculated on the basis of eligible costs, for R&D projects, maximum funding limits shall be:
  - for basic research: 100%; but its share cannot exceed 30 % of the total project cost
  - for industrial (applied) research: 50%;
  - for experimental development: 25%.
- In the case of industrial research or experimental development the above rates may be increased by the following percentages for the following types of applicants
  - small sized enterprise - by 20 percentage points of the funding limit;
  - medium sized enterprise - by 10 percentage points of the funding limit.
- Activities:
  - Basic research: broadens general scientific and technical knowledge and is not linked to industrial or commercial objectives,

- Industrial or applied research: research conducted to acquire new knowledge to be used for developing new products, processes or services or for improving existing products, processes or services,
- Experimental (pre-competitive) development: incorporating applied research into designs, i.e. designing new or improved products, processes or services (including the manufacturing of prototypes not intended for commercial use). Routine changes in products, manufacturing processes, procedures or existing services shall not qualify as experimental development, even if those result in the improvement of those products, processes, procedures or services.
- Duration and absolute limits of funding:
  - Minimum 18, maximum 36 months.
  - Maximum 100 million HUF, (approximately 370 000 Euro, now, but calculated on the actual conversion rate valid on the last day of month before submission deadline date).
  - Subcontracting is possible, but limited to the 30 % of the total eligible cost of the respective consortium partner.
- Exclusion: Any participant who
  - is subject to bankruptcy or liquidation proceedings, dissolution or debt financing procedure;
  - has been guilty of making false declarations during earlier calls under the Fund or under the targeted allocations provided by paragraph (2) of section (16) of Act XC of 2003 on the Research and Technology Innovation Fund, or has breached its obligations arising from an earlier funding contract,
  - has overdue public debts (except if these have been relieved (deferred or broken up into instalments) by the relevant tax authority);
  - has had expired liabilities to the Fund or to the targeted allocations provided by paragraph (2) of section (16) of Act XC of 2003 on the Research and Technology Innovation Fund for more than 60 days.
  - intends to carry out activities or projects not complying with environmental requirements;
  - has not got the necessary permits issued by the competent authority for the proposed activities;
  - qualifies as an undertaking in difficulty as described in 2.1 point of Communication from the Commission No 2004/C 244/02 on State aid for rescuing and restructuring firms in difficulty;
  - has been ordered by a decision of the European Commission to reimburse any aid; shall be ineligible, shall be

excluded from the evaluation procedure and shall not receive financial contribution under the programme.

- conformity of the project proposal with the objectives and the formalities of the ERANET HU Programme see details at the ERANET HU programme guidelines.
- Documents to be submitted to NKTH
  - Together with the electronic submission of the proposal at the ETB-office, Hungarian SMEs applying to ETB have to submit the following documents to their national contact.
  - Additional Hungarian Proposal form filled in and submitted electronically to the following E-mail address: [eranethu\\_eurotransbio@nkth.gov.hu](mailto:eranethu_eurotransbio@nkth.gov.hu), as well as in two hard copies by post to NKTH's address
  - Signed official statements according to the ERANET Hu programme guidelines

## Italy

- Italian applicants, in order to submit a project proposal under the ETB 5<sup>th</sup> call, must submit a specific request for funding to the Ministry of Economic Development, following the rules and instructions described in the “Bando per la concessione di agevolazioni per lo sviluppo di progetti transnazionali di sviluppo sperimentale e ricerca industriale nel campo delle biotecnologie” available at [www.sviluppoeconomico.gov.it](http://www.sviluppoeconomico.gov.it) or [www.riditt.it](http://www.riditt.it) .
- The applicant must be a micro, small or medium sized enterprise, registered to an Italian Chamber of Commerce (demonstrating also to be well established in Italy with plants, laboratories, employees).
- Large companies, Universities, Research Centres, can be funded, only if at least one Italian SME plays a major role in the project, in terms of quality of performed activity and total project cost share.
- The applicant shall not be subject to any legal administrative procedure.
- The applicant must not be in default for previously funded projects.
- The applicant must have a stable financial situation.
- The applicant must demonstrate its capability (in terms of dimension and competences: personnel, laboratories, facilities) to gear properly its project effort (i.e. its project cost share).
- The applicant must have enough own financial resources for the carrying out of the project that is submitted for funding.
- The sum of all the expenses of any single Italian partner in a single project must account over 200.000 Euro and below 500.000 Euro. In any case, the whole expenses sum of the Italian participants involved in a project cannot exceed 1,5 Meuro.
- A project cannot receive double public funding.

- Only project topics related to industrial innovation needs will be accepted.
- Only projects where the activities performed by the Italian applicants concern prevailing experimental development can be funded (i.e. the research activities shall be only a minor share of the whole Italian partners duty in the project).
- All areas of biotechnology (according to the OECD definition) are supported, but the project must be compatible with the national legislation and rules on biotechnologies (e.g. research or development on human embryo stem cells or GMOs for application in agriculture are not allowed).
- All information needed to do evaluation must be provided in the project proposal (incomplete proposals will be rejected without evaluation).
- The duration of the project should be 18-36 months.
- Funding amount depends on the project type of activities, according to the European rules of the Community Framework for State Aid for Research and development and Innovation.
- The Italian applicants are subject to all the general rules and conditions of the Italian Programme "Innovation Technology Fund" (FIT- Fondo per l'Innovazione Tecnologica L.46/82, owned by the Ministry of Economic Development [www.sviluppoeconomico.gov.it](http://www.sviluppoeconomico.gov.it)).
- Project budget information should be reported without taxes.

## The Netherlands

- Dutch applicants have to submit their project by sending a legally signed application form in Dutch "Aanvraagformulier Sterktes in Innovatie", an executive summary for publication purposes and a paper copy of the proposal to SenterNovem.
- The project must fall within the scope of the national programme "Life Sciences and Health" which translates as "Red" or human health oriented biotechnology (drug development, development of medical technology leading to specific treatment methods or diagnostics and development of biomedical materials or products based on these materials).
- The project activities of participating Dutch companies must be restricted to industrial research and experimental development in the field of 'Life Sciences and Health'.
- Dutch companies receive 35% subsidy for industrial research and 25% subsidy for experimental development. For SME's both percentages are increased by 10%. Research organisations receive 50% subsidy for industrial research and 25% subsidy for experimental development.



- For Dutch participants a total amount of EUR 3.000.000 is available, with a maximum of EUR 750.000 per project.
- At least 35% of the fundable part of the Dutch participation in a given project must be borne by SMEs.
- Maximum duration of a project is 4 years.
- The project must stimulate the growth and increase the profits of the companies involved and have a significant value for the Dutch economy.
- The applicants of projects recommended for funding will be requested to provide a detailed description of the project budget in SenterNovem format.
- The main partner of the Dutch consortium has to provide a progress report twice a year and a final report at the end of the project.

### Basque Country / Spain

- Small, medium and large companies, associations and foundations of companies are the beneficiaries of the Basque funding programme (research centres, universities and public entities can be subcontracted by the beneficiaries of the Basque funding programme).
- The company must be registered in the country of the programme owner to receive funding.
- The company must have a stable financial situation.
- The company must be up-to-date of its tax duties.
- The project shall not have a previous grant from the programme owner to receive further funding.
- Max funding for SMEs is up to 40%.
- The company must have enough own financing for the project for which they are applying for funding. The company must guarantee an own contribution (min. 25%) to the costs of the project.
- The company cannot receive funding over a certain amount (250.000 Euro per beneficiary and per year).
- All information needed for evaluation should be available.
- The project must add benefit to the national economy.
- The duration of the project should be 1-3 years.
- Budget information does not need to include taxes

### Catalonia / Spain

- A project cannot receive double public funding.
- The Catalan applicants, prior to submit a project proposal under the ETB fifth call, must submit a specific request for funding to the CIDEM. Information about the specific requests will be available at the web page <http://www.acc10.cat>.

- All information needed for the evaluation should be available.
- The applicant must be registered and well established in Catalonia.
- The applicants must have enough own financing for carrying out of the project they are applying for.
- The proposed project must provide added value to the regional biotech scenery.
- The proposed project must add benefit to the regional economy.
- All areas of biotechnology are supported, but the project must be compatible with the national general legislation and rules on biotechnologies.
- The applicant shall not be subject to any legal administrative procedure.
- Any participant who is subject to bankruptcy or liquidation proceedings, dissolution or debt financing procedure is excluded.

## Madrid/ Spain

- The proposals submitted to the 5th call 2009 with the participation of partners from Madrid region will be evaluated by experts from Imade during the period stated by ETB. Imade commits to have a governmental go/no go decision by the end of 2010. The projects will be able to start from the moment of submission to the corresponding ETB call subject to their formal application to the PIE call once it opens, when applicants will have to fulfill the regional submission procedures and administrative requisites in order to obtain the requested funding.
- Research to be funded must be in pre-competitive phase
- There is maximum limit of two projects per company/year
- The minimum eligible costs are 12.000 €
- Project duration should be 12 months (6 months extension possible). However, projects expected to last two or more years can apply for new funding in the consecutive years.
- Maximum co-financing rates for industrial research projects can be up to 80%, and for pre-competitive development projects up to 50%
- A project cannot receive double public funding.
- Applicants must have a stable and healthy financial situation and tax duties fulfilled.
- Applicants/Beneficiaries: normally companies (SMEs and Large Companies) or any other organization independently of its legal form with a registered competitive economic activity, established in the Madrid Region and whose personnel involved in the project is also based in the Madrid Region.
- Subcontractors and large companies can also be funded.
- RTOs without registered competitive economic activity can only be funded as subcontractors of an applying company.

## Flanders / Belgium

- A project cannot receive double public funding.
- Minimal budget for the Flemish partners is 50 000 EUR. The budget for the Flemish partners should follow the IWT cost model
- The company must have a stable financial situation. Financial statements of the last 2 years should be included (in case of a start-up company, the business plan should be included as well).
- The company cannot be subject to any legal administrative procedure.
- The company must have enough financial means to fund their part of the project.
- The project must be compatible with the regional programme. Any kind of research supporting military purposes is not funded.
- All information needed for evaluation should be available
- The company must be registered in the region of the programme owner in order to receive funding. Moreover, the company must be well established in the region.
- The project must add benefit to the regional economy. Companies should describe the “Toegevoegde waarde in Vlaanderen” (max 5 pages). This document should be part of the hard copy sent to IWT. Companies which do not create enough “Toegevoegde waarde in Vlaanderen” will not be considered eligible
- Companies may not be in default for previously funded projects
- The duration of the project is max 3 years
- Max funding is 80%
- Large companies can be funded
- Subcontractors can be funded
- The applying Flemish companies are obliged to contact IWT at least 1 month before the closing of the call.
- If authorization by an ethical commission is required for project execution in Flanders, the official approval should be send to IWT as soon as possible. In any case, for projects that have been selected for funding, the official authorization document has to be made available to IWT at the latest eight months after the funding decision was made by the board of IWT. If not, project funding will be denied.
- Declaration (in Dutch and included in the hardcopy sent to IWT) that
  - The ETB-procedures and rules will prevail on IWT-procedures and rules
  - IWT can perform all due diligence to evaluate the international project
  - There are no government claims on the company and there is no double financing

- That the given financial information is correct.
- Electronic version of the application sent to ETB is identical to the hard copy of the application sent to IWT

DECLARATION FORM

Voeg aan uw aanvraag onderstaande tekst toe en onderteken deze. De ondertekening moet voor uw bedrijf gebeuren door een persoon die de betrokken rechtspersoon rechtsgeldig kan verbinden.

Ik verklaar in naam van het bedrijf akkoord te gaan met de ETB-procedure en dat deze procedure voorrang heeft op de IWT-procedure.

Hierbij geef ik IWT-Vlaanderen de toestemming alle nodige enquêtes te laten uitvoeren naar aanleiding van de aanvraag bij IWT-Vlaanderen tot financiële steun voor het ETB project : *<titel project>*.

In hoofde van het aanvragend bedrijf verklaar ik dat het bedrijf op datum van deze aanvraag geen vervallen schulden bezit met betrekking tot belastingen en/of RSZ alsook in orde is met betrekking tot de nodige (milieu)vergunningen. Ik verklaar tevens voor dit projectvoorstel geen andere overheidssteun aangevraagd of ontvangen te hebben.

Tevens verklaar ik dat alle relevante informatie m.b.t. deelnemingen en stemrechten van mijn bedrijf en zijn aandeelhouders volledig en correct is.

Ik verklaar dat het aanvragend bedrijf op datum van deze aanvraag in orde is met betrekking tot de nodige vergunningen en dat indien voor het project specifieke autorisaties of verzekeringen vereist zijn, deze tijdig zullen aangevraagd worden en dat de activiteiten die dergelijke autorisaties/verzekeringen vereisen niet zullen uitgevoerd worden zonder dat deze autorisaties/verzekeringen bekomen werden.

Ik verklaar in naam van het aanvragend bedrijf dat de elektronisch ingestuurde versie van de ETB projectaanvraag identiek is aan de papieren versie van de ETB projectaanvraag die aan het IWT wordt bezorgd

Datum :

(handtekening(en), voorafgegaan door "gelezen en goedgekeurd")

A project can only be considered eligible if an electronic version is sent to ETB and a hardcopy is sent to IWT including the document describing “Toegevoegde waarde in Vlaanderen” and the declaration form.

## Wallonia / Belgium

- A project cannot receive double public funding.
- The budget for the Walloon partners should follow the DG OER cost model
- The beneficiary must have a stable financial situation.
- The beneficiary shall not be subject to any legal administrative procedure.
- The beneficiary must have enough own financing for the project that they are applying funding for.
- The project must be compatible with the regional programme.
- All information needed for evaluation should be available
- The beneficiary must be registered in the region of the programme owner to receive funding and it must be well established in the region.
- The project must add benefit to the regional economy.
- Beneficiaries are not in default for previously funded projects
- The duration of the project can be max 3 years
- Max funding is :
  - SME: 60 to 80% MAXIMUM
  - Large companies: 50 to 60%
  - Academia: 100%
  - Research centres: 75%
- Small, Medium, Large companies, academia and research centres can be funded
- Walloon companies must benefit from at least 40% of the Walloon funding for the project.

## Fact sheet 4

### *Evaluation Criteria*

The international evaluation is performed considering the following aspects:

- Technological/Scientific Innovation
  - Technical risks and feasibility of the project

- Degree of innovation in comparison with state-of-the-art
- Practical value/benefit for the consortium
- State of intellectual property – patents
- Technology transfer through the project
  
- Social and Economic perspective
  - Economic potential of the project - commercialisation of results
  - Impact for the companies - competitiveness, growth, employment
  - Business strategy of the project - strengths and weaknesses
  - Trans-national added value
  - Sustainable development in respect to social and environmental impact
  - Impact on the European competitiveness in the specific field
  
- Consortium and Project Management
  - Quality of consortium - complementarity, SMEs, Internationality
  - Scientific and technological ability and experience of the consortium
  - Business expertise of the consortium (track record)
  - Project management capacity
  
- Resources
  - Human Resources (qualification and overall man power)
  - Financial capability of the consortium and financial commitment of the partners
  - Facilities such as laboratories or very specialized equipment